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## Research article

# Allelic and haplotypic HLA diversity in indigenous Malaysian populations explored using Next Generation Sequencing

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## ABSTRACT

The heterogenous population of Malaysia includes more than 50 indigenous groups, and characterizing their HLA diversity would not only provide insights to their ancestry, but also on the effects of natural selection on their genome. We utilized hybridization-based sequence capture and short-read sequencing on the HLA region of 172 individuals representing seven indigenous groups in Malaysia (Jehai, Kintaq, Temiar, Mah Meri, Seletar, Temuan, Bidayuh). Allele and haplotype frequencies of *HLA-A*, *-B*, *-C*, *-DRB1*, *-DQA1*, *-DQB1*, *-DPA1*, and *-DPB1* revealed several ancestry-informative markers. Using SNP-based heterozygosity and pairwise *F<sub>st</sub>*, we observed signals of natural selection, particularly in *HLA-A*, *-C* and *-DPB1* genes. Consequently, we showed the impact of natural selection on phylogenetic inference using HLA and non-HLA SNPs. We demonstrate the utility of Next Generation Sequencing for generating unambiguous, high-throughput, high-resolution HLA data that adds to our knowledge of HLA diversity and natural selection in indigenous minority groups.

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## 1. Introduction

Malaysia is a Southeast Asian (SEA) country made up of Peninsular Malaysia which is connected to the Mainland Asian continent, and the states of Sarawak and Sabah on Borneo Island (Fig. 1). The current populations in Malaysia range from indigenous groups with historical continuities to the region, to fairly recent migrants mostly from Southern China and India. The term ‘Orang Asli’, which translates to ‘original people’ in the Malay language, refers to a heterogenous group of indigenous peoples from Peninsular Malaysia. They are further subdivided into Negrito (also referred to as Semang), Senoi, and Proto-Malay, based on geo-

graphic, phenotypic, linguistic, and cultural factors [1]. The “Layer-cake” model [2] posits that these three groups migrated sequentially into the Malay Peninsula, with the first (i.e. oldest) migrants being the Negritos and the most recent being the Proto-Malay. The Negritos and Senoi speak Austroasiatic languages [3], whereas the Proto-Malay speak Austronesian languages. The indigenous groups in Borneo also speak Austronesian languages, implying that their ancestry may be traced back to the Austronesian expansion from Taiwan that began 5000 years before present (BP) [4–6]. However, genetic analyses using various markers paint a more complex picture, with multiple migration waves and admixture events being considered [7–9]. The genomes of these indigenous peoples bear the marks of those various demographic processes, and also potentially the hallmarks of natural selection, given their long term exposure to various endemic pathogens in the region.

The Human Leukocyte Antigen (HLA) region on chromosome 6p21 is one of the candidate loci that may be under the effects of natural selection [10]. This 4 Mb region contains genes that play

*Abbreviations:* BP, years before present; EW, Ewens-Watterson test; NGS, Next Generation Sequencing; NJ, Neighbor-Joining; PCS, Principal Component Scores; SBT, Sequence-based typing; SEA, Southeast Asia; WGS, Whole genome sequencing.

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**Fig. 1.** Location map of populations used in this study. 1) Jehai; 2) Kintaq; 3) Temiar; 4) Mah Meri; 5) Temuan; 6) Seletar; 7) Bidayuh. The populations from Peninsular Malaysia are grouped as Negrito (blue), Senoi (red), and Proto-Malay (green). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

important roles in presenting processed antigens to T cells, thus eliciting immune responses. These HLA genes are very diverse, with more than 20,000 reported alleles between the nine most polymorphic genes [11], also known as classical HLA genes. Balancing selection has been proposed to explain why such high levels of polymorphism is being maintained in the HLA region [12–13]. Individuals bearing heterozygous HLA genotypes may have a higher fitness than homozygotes by being able to present a wider repertoire of antigens to immune cells, a form of balancing selection called heterozygote advantage. Selection intensity may differ between HLA genes and populations, depending on the exposure to specific pathogens endemic to a certain location. The high level of HLA diversity proved very useful for population genetics studies, by making use of allele frequency differences between populations to infer their phylogenetic relationships [14–16]. However, such phylogenetic inferences may potentially be influenced by natural selection, particularly balancing selection [17–18].

Discerning the alleles of these highly polymorphic HLA genes is not only critical for population genetics, but also for organ or tissue transplantation, and disease association studies. HLA typing methods have progressed from serology-based, to sequence-specific primer PCR and oligonucleotide probes, and to sequence-based typing. The latter was initially performed using Sanger sequencing, but now more protocols have been developed to utilize Next Generation Sequencing (NGS) technologies. NGS-based methods typically involve targeted enrichment of the HLA region using either short- or long-range PCR [19–20], or hybridization-based sequence capture [21], followed by short- or long-read sequencing using platforms such as Illumina or PacBio. These high-throughput methods may reduce typing cost per sample, but also require more bioinformatics analyses [22].

The HLA diversity in some indigenous Malaysian populations have been previously reported [23–26], with varying resolutions of allele designation, which included ambiguous allele calls. The HLA genes that were genotyped also varied by study. Here we report full HLA gene sequences in seven indigenous Malaysian populations generated using a probe-based target enrichment method followed by short-read NGS. By taking advantage of the dense SNP data generated using NGS, we aim to identify ancestry-informative HLA alleles and haplotypes, to explore the intensity of natural selection in HLA genes, and to investigate its effects on phylogenetic inferences.

## 2. Materials and methods

### 2.1. Sample information

A total of 172 individuals representing seven indigenous groups from Malaysia were recruited for this study. Six of those groups

represent the Orang Asli from Peninsular Malaysia: Jehai ( $n = 25$ ), Kintaq ( $n = 17$ ), Mah Meri ( $n = 20$ ), Seletar ( $n = 50$ ), Temuan ( $n = 25$ ), Temiar ( $n = 10$ ); whereas the Bidayuh ( $n = 25$ ) are one of the Dayak subgroups from Borneo. The Jehai and Kintaq can be further grouped as Negrito, the Mah Meri and Temiar as Semai, and the Temuan and Seletar as Proto-Malay. Their respective locations are shown in Fig. 1. Blood samples were collected after receiving approval from the respective local government agencies (JKEOA in the case of Orang Asli), and from the participants themselves. Informed consent was obtained from all participants. This study has been approved the ethics committees from Monash University Sunway, Malaysia, and the National Institute of Genetics, Japan.

### 2.2. Targeted HLA sequencing

DNA was extracted from blood samples using a previously described protocol [27]. Entire HLA gene sequencing was done using the sequence-capture method [21]. This method is based on hybridization between an adapter-ligated DNA library (KAPA Hyper Prep Kit, Roche) and a biotinylated DNA probe (SeqCap EZ choice kit, Roche) custom designed to target HLA genes and other loci in the MHC region. Since the terminal ends of read 2 tends to have lower quality, paired-end sequence reads were set to 350 bp for read 1 and 250 bp for read 2 in the MiSeq sequencing run to improve overall base quality.

### 2.3. Data analysis

#### 2.3.1. Variant calling and HLA allele genotyping

Raw fastq files were first subjected to quality control (QC) and adapter trimming using fastp tool [28], with minimum phred score set to Q20 and other parameters set to default. Reads that passed QC were then mapped to the human reference genome hg19 using bwa v0.7.17 [29]. After marking duplicates in the mapped files using picard, variant calling was performed using HaplotypeCaller and GenotypeGVCFs programs from GATK v3.8.1 [30]. The resulting VCF file was further filtered to remove variants with less than 90% genotype call rate across all samples using vcftools v.0.0.17 [31], and multi-allelic variants using bcftools v1.9 [32]. Of the 23,690 bi-allelic variants that passed QC filtering, 67% were mapped to the HLA region, while the rest were mapped to random segments in the genome. This was possibly due to the sequencing of non-specific DNA fragments generated during the library preparation step. The average depth of coverage is 42x for genes in the HLA region and 18x for other non-HLA segments. Typing of six-digit HLA alleles was conducted in Omixon Target software version 1.9.3 (Omixon) and TypeStream Visual NGS Analysis Software

(Thermo Fisher Scientific) with IPD-IMGT/HLA Database release 3.21.0.

### 2.3.2. HLA allele-based analysis

Exact test for deviation from Hardy-Weinberg Equilibrium (Supplementary Table 1) and allele frequencies (Table 1) for eight HLA genes (-A, -C, -B, -DRB1, -DQA1, -DQB1, -DPA1, -DPB1) were calculated in each of the seven indigenous Malaysian groups. Linkage Disequilibrium (LD) was calculated between pairs of genes, and haplotype frequencies were estimated using the expectation-maximization (EM) algorithm. Allelic diversity and Ewens-Watterson (EW) test for neutrality were also calculated. All the aforementioned calculations were performed in pypop v0.7.0 [33]. Principal Component Analysis (PCA) was conducted using allele and haplotype frequency data using R version 4.0.2. To identify ancestry informative alleles and haplotypes that contributed to the observed PCA clustering, Principal Component Scores (PCS) were calculated as described in Nakaoka et al. [34].

### 2.3.3. SNP and sequence-based analysis

A total of 16,057 bi-allelic Single Nucleotide Polymorphisms (SNP) from the HLA region (chr6: 29,681,967–33,120,090) were extracted from the VCF file containing all Malaysian samples. Haplotype phasing was done using WhatsHap [35], a read-based phasing method. Haploid DNA sequences for each sample in FASTA format for the eight HLA genes (-A, -C, -B, -DRB1, -DQA1, -DQB1, -DPA1, -DPB1) were generated using bcftools, and DnaSP software [36] was used to calculate nucleotide diversity and Tajima's D. Heterozygosity and pairwise  $F_{st}$  were calculated using PLINK [37], and permutation tests were conducted using the coin package in R version 4.0.2.

For phylogenetic inference, all 23,690 SNPs from the Malaysian samples were merged with whole genome sequencing (WGS) data of individuals from the Simon's Genome Diversity Project (SGDP) dataset [38], 10 individuals from the Andaman Islands [39], and one Jomon-period (~4000 years BP) ancient genome from Japan [40]. The 13,518 overlapping SNPs were further divided into HLA SNPs only (dataset A; 11,520 SNPs), and non-HLA SNPs (dataset B; 1998 SNPs). To further compare phylogenetic signals between HLA and non-HLA loci, an additional dataset was prepared using genome-wide SNP data of five Orang Asli groups (Jehai, Kintaq, Mah Meri, Temuan, Seletar) genotyped using Illumina SNP array [41], a Bidayuh group genotyped using Affymetrix SNP array [42], and the same WGS samples as datasets A and B. SNPs in the HLA region were removed from this merged dataset, (dataset C; 109,821 SNPs). Linkage disequilibrium pruning was performed in PLINK [37], using 50 SNP windows, sliding every 5 SNPs, with an  $r^2$  threshold of 0.5, for all three datasets. Nei's genetic distance was calculated from the population allele frequencies using the Phylip package [43]. Neighbor-joining (NJ) trees [44] were generated from the resulting distance matrices using MEGA X [45]. To estimate similarities between phylogenetic trees, the Robinson & Foulds (RF) metric [46] implemented in the phangorn R package was used.

## 3. Results

### 3.1. HLA allele and haplotype diversity

A total of 159 distinct alleles across 8 HLA genes were identified in the indigenous Malaysian populations (Table 1). These included HLA-C, -DQA1, -DPA1, and -DPB1 genes that have not been reported in any indigenous Malaysian groups so far. All genes were in Hardy-Weinberg Equilibrium except for HLA-A in the Temuan, and HLA-DPB1 in the Bidayuh (Supplementary Table 1). NGS-

based HLA typing allowed for unambiguous, high resolution allele calls up to the 3rd-field level of HLA nomenclature, although no novel alleles were identified. Based on pairwise measures of LD between HLA genes (Fig. 2), haplotype frequencies were estimated using pypop [33] for HLA-B ~ C, HLA-DRB1 ~ DQA1 ~ DQB1, and HLA-DPA1 ~ DPB1 (Supplementary Table 2).

### 3.2. Ancestry-informative HLA alleles and haplotypes

The clustering of the seven Malaysian groups based on PCA using HLA-A allele frequency and the three haplotype frequencies (Supplementary Table 2) is shown as colored circles in Fig. 3. The Seletar and Bidayuh showed the largest distance along the first principal component (x-axis), whereas the second principal component (y-axis) showed the largest distinction between the Negritos (Kintaq and Jehai), and the Mah Meri and Bidayuh. Ancestry informative alleles and haplotypes are shown as monochrome shapes in Fig. 3. For example, the separation of the Bidayuh from others along PC1 can be explained by the HLA-A\*24:07:01 allele which has the highest frequency in that group (52%). The Bidayuh and Mah Meri are two geographically distant groups (Fig. 1), but they share high frequencies of two haplotypes: DRB1\*16:02:01 ~ DQA1\*01:02:02 ~ DQB1\*05:02:01 (DRDQ\_H39), and B\*15:25:01 ~ C\*04:03:01 (BC\_H19). The DRB1\*15:02:01 ~ DQA1\*01:01:01 ~ DQB1\*05:01:01 haplotype (DRDQ\_H34) was in highest frequency in the Kintaq, Jehai, and Temiar, which explains their clustering in the PCA plot. When using only allele instead of haplotype frequencies, the PCA pattern is slightly different (Supplementary Fig. 1), most notably the Bidayuh and Mah Meri are clustered very closely, and the Temiar are further away from the Negritos (Jehai, Kintaq).

### 3.3. Natural selection in the HLA region

High heterozygosity at a genetic locus relative to neutral expectation is a simple indicator that it may be under the effects of balancing selection. We measured heterozygosity for each SNP, averaged across all seven Malaysian populations, and then grouped by gene (Fig. 4). The genes listed in Fig. 4 are the ones covered by the targeted sequencing probes (see Methods 2.2). The average heterozygosity for the 7633 non-HLA SNPs was 0.0983, indicated by a red horizontal line in Fig. 4. All genes in the HLA region had higher heterozygosity than non-HLA loci, with similar patterns observed in other global populations from the 1000 Genomes project data (Supplementary Fig. 2). Classical HLA genes have significantly higher heterozygosity compared to non-classical HLA genes ( $p < 0.01$  from permutation tests), with HLA-DPB1 showing the highest heterozygosity, followed by HLA-A and HLA-C. Some non-HLA genes in the MHC region such as MICA and MICB also have high levels of heterozygosity, possibly due to their function in immune response.

Natural selection also influences population differentiation, measured as  $F_{st}$ . Low  $F_{st}$  between divergent populations (e.g. between continents) implies that the loci may be under balancing selection [47]. Pairwise  $F_{st}$  was calculated between the indigenous Malaysian groups and East Asian, and European populations from the SGDP dataset [38] and averaged by gene (Fig. 5). When comparing divergent populations (Malaysian-European), HLA-A, -C, and -DPB1 were the three genes that have lowest  $F_{st}$  compared to non-HLA loci (vertical red lines in Fig. 5), suggesting that these genes are under balancing selection. On the other hand,  $F_{st}$  between less differentiated populations (Malaysian-East Asian) showed significantly high values for HLA-DQ and -DP genes, implying local adaptation at those genes, possibly driven exposure to different pathogens. We also observed signals of balancing selection in HLA Class II genes, indicated by positive Tajima's D values (Sup-

**Table 1**  
HLA allele frequencies in seven Malaysian populations.

	Bidayuh	Jehai	Kintaq	Mah-Meri	Seletar	Temuan	Temiar
n	25	25	17	20	50	25	10
<b>HLA-A</b>							
01:01:01					0.0900		
02:01:01		0.1200	0.2059	0.2500	0.0900	0.0400	0.0500
02:01:02		0.0800	0.1471				
02:03:01	0.0200	0.0200	0.0294		0.0300	0.0600	
02:05:01					0.0100		
02:06:01		0.0400					0.0500
02:07:01	0.0200	0.0200				0.0400	
03:01:01			0.0882				
11:01:01	0.1000	0.0200	0.2059	0.2250	0.2000	0.2000	0.1500
11:02:01					0.0100		
24:02:01	0.1200	0.1800	0.0294	0.0500	0.1700	0.2000	0.1000
24:07:01	0.5200	0.3600	0.2647	0.2250	0.0900	0.2600	0.4000
24:10:01					0.0600		
24:95		0.0400					
29:01:01						0.0200	
33:03:01	0.0400	0.0600	0.0294	0.0250	0.0600	0.1400	0.1000
34:01:01	0.1800	0.0600		0.2250	0.1900	0.0200	0.1500
34:05						0.0200	
<b>HLA-C</b>							
01:02:01	0.0200	0.0400		0.0500	0.0400	0.1400	
01:88						0.0200	
03:02:02	0.0400	0.0800			0.0200	0.0800	0.1000
03:03:01					0.0100		
03:04:01	0.0600	0.0400	0.0294	0.0500	0.1800	0.1400	0.2000
03:15			0.0294				
04:01:01	0.0400	0.0200	0.1765			0.0400	
04:03:01	0.2800		0.0294	0.3750		0.0800	
04:06	0.0200	0.0400					
04:09 N	0.1200	0.1000	0.0588			0.0200	0.1000
04:82				0.0250	0.0200	0.0200	
06:02:01				0.0500	0.0900		
06:116 N					0.0100		
07:01:01	0.0200						
07:02:01	0.0600	0.1000	0.1471	0.0250	0.2400	0.1400	0.2500
07:04:01		0.0200		0.0750	0.0600	0.1000	
07:06				0.0250		0.0200	
07:199:01		0.1800	0.2353	0.0500			
08:01:01	0.2600	0.1600	0.1471	0.2000	0.1600	0.1000	0.1500
08:22					0.0100		
12:02:02				0.0250		0.0800	
12:03:01		0.1200	0.0294				0.1500
14:02:01	0.0600	0.0800	0.1177	0.0500	0.1500		
15:02:01	0.0200	0.0200			0.0100		0.0500
15:05:02						0.0200	
<b>HLA-B</b>							
07:02:01			0.0882				
07:05:01						0.0200	
07:06:01	0.0200				0.0400		
13:01:01	0.0200	0.0600		0.0500	0.1800	0.1000	0.1000
15:01:01					0.0100		
15:02:01	0.1400				0.0500	0.0800	
15:13:01	0.1000	0.1400	0.1471	0.2000	0.1100	0.1000	0.1500
15:18:01	0.0200						
15:21	0.1800			0.1000			
15:25:01	0.1000		0.0294	0.2750		0.0800	
15:35		0.0600		0.0250			0.1500
18:01:01	0.0200	0.2200	0.2353	0.1250		0.1200	
18:02					0.0600		
27:06	0.0200		0.0294			0.0400	0.1000
35:01:01			0.0294				
35:05:01	0.1600	0.1000	0.2059			0.0600	0.0500
35:89		0.0200					
38:02:01		0.0200			0.0600	0.1000	0.1000
39:01:01		0.1200	0.0294		0.1500		0.1500
40:01:02	0.0600	0.0200		0.0250	0.0200	0.0600	
40:02:01		0.0200	0.0294				0.0500
40:06:01		0.0200					
44:03:02				0.0250		0.0200	
45:01:01				0.0500			
46:01:01	0.0200	0.0200			0.0100	0.0600	
48:01:01	0.0200		0.0294				

Table 1 (continued)

	Bidayuh	Jehai	Kintaq	Mah-Meri	Seletar	Temuan	Temiar
50:01:01					0.0100		
51:01:01	0.0400						
51:01:02	0.0200	0.0800		0.0500	0.0100		
51:02:01					0.0100		
51:02:02	0.0200		0.1177		0.1400		0.0500
52:01:01				0.0250		0.0600	
55:02:01				0.0500			
56:01:01		0.0200			0.0200	0.0200	
57:01:01					0.0900		
58:01:01	0.0400	0.0800	0.0294		0.0200	0.0800	0.1000
81:02					0.0100		
<b>HLA-DRB1</b>							
01:02:01				0.0750			
03:01:01	0.0200				0.0200	0.0400	
04:03:01	0.0200			0.0250	0.0200	0.0200	
04:05:01		0.1000	0.0294	0.0250			0.2500
07:01:01				0.0250	0.0100	0.0200	
08:02:01					0.0100		
08:03:02					0.0300		
09:01:02	0.0200	0.2400	0.2647	0.1750	0.0400	0.1200	
10:01:01			0.0882		0.0900	0.0200	
11:01:01	0.0200				0.0200	0.0400	
11:05					0.0100		
12:01:01					0.0100		
12:02:01	0.4400	0.1000	0.3235	0.1500	0.1200	0.1000	0.1000
13:02:01	0.0200	0.0800		0.0250		0.0600	
13:12:01					0.0400	0.0400	
14:04:01		0.0800		0.0500	0.0800	0.0200	
14:07:01					0.1400		
14:54:01	0.0200	0.0200				0.0600	
15:01:01	0.0600	0.0600	0.1177	0.0500	0.3300	0.1200	0.2000
15:02:01	0.1600	0.2800	0.1765	0.1250	0.0200	0.2000	0.3500
16:02:01	0.2200	0.0400		0.2750	0.0100	0.1400	0.1000
<b>HLA-DQA1</b>							
01:01:01		0.2800	0.1765	0.0250	0.0200	0.1600	0.3500
01:01:02				0.0750			
01:02:01	0.1800	0.0800	0.0882	0.1750	0.1800	0.2200	0.1000
01:02:02	0.2800	0.1000	0.0294	0.3250	0.1600	0.1400	0.2000
01:03:01		0.0200	0.0294		0.0300		0.1500
01:04:01	0.0200	0.1000		0.0500	0.2300	0.0800	
01:05:01			0.0882		0.0900	0.0200	
02:01:01				0.0250	0.0100	0.0200	
03:01:01	0.0200	0.0200	0.0294	0.0250	0.0200	0.0200	0.0500
03:02	0.0200	0.2200	0.2353	0.1750	0.0400	0.1200	
03:03:01		0.0800		0.0250			0.0500
04:01:02	0.0800		0.0588	0.0500	0.0100	0.0200	
05:01:01	0.0200				0.0200	0.0400	
05:03					0.0400	0.0400	
05:05:01	0.0200				0.0300	0.0400	
06:01:01	0.3600	0.1000	0.2647	0.0500	0.1200	0.0800	0.1000
<b>HLA-DQB1</b>							
02:01:01	0.0200				0.0200	0.0400	
02:02:01				0.0250	0.0100	0.0200	
03:01:01	0.4400	0.1000	0.3235	0.1000	0.1900	0.1800	0.1000
03:02:01	0.0200			0.0250	0.0200	0.0200	
03:03:02	0.0200	0.2400	0.2647	0.1750	0.0400	0.1200	
03:04:04	0.0200						
04:01:01		0.0200					
04:02:01		0.0600		0.0250	0.0100		0.1000
05:01:01		0.2600	0.2647	0.1000	0.0900	0.1600	0.3500
05:02:01	0.3000	0.1200	0.0588	0.3750	0.3600	0.3200	0.2000
05:03:01		0.1000	0.0294	0.0500	0.2300	0.0800	0.1500
05:66:01		0.0200					
06:01:01	0.1600			0.1000	0.0300		0.1000
06:02:01			0.0588				
06:04:01				0.0250		0.0600	
06:09:01	0.0200	0.0800					
<b>HLA-DPA1</b>							
01:03:01	0.6400	0.6000	0.7059	0.6750	0.6800	0.4200	0.5500
02:01:01	0.0800	0.2000		0.1250		0.1600	0.0500
02:02:02	0.2000	0.2000	0.1177	0.2000	0.1800	0.3400	0.3500
04:01	0.0800		0.1765		0.1400	0.0800	0.0500
<b>HLA-DPB1</b>							

(continued on next page)



Table 1 (continued)

	Bidayuh	Jehai	Kintaq	Mah-Meri	Seletar	Temuan	Temiar
01:01:01		0.0800	0.0294	0.0500		0.0200	0.2500
02:01:02	0.0400	0.0400	0.1177	0.1000	0.2400	0.0600	
02:02	0.0200		0.0588	0.0500	0.0200	0.0200	
03:01:01	0.1200	0.1000			0.0700	0.0600	0.1500
04:01:01	0.2600	0.3400	0.4412	0.4000	0.2300	0.1400	0.3000
04:02:01						0.0200	
05:01:01	0.1000	0.0800	0.0294		0.1100	0.1000	0.0500
09:01:01				0.0250		0.0600	
105:01:01	0.1600	0.1600	0.0588	0.1250	0.0800	0.1200	
107:01	0.0600		0.0294		0.0100	0.0400	0.0500
124:01	0.0400						
12:60:12					0.0100	0.0200	
13:01:01	0.1000	0.2000		0.1750		0.1400	0.1000
133:01	0.0200					0.0200	
135:01	0.0200				0.0500	0.0200	
21:01					0.0100		
28:01			0.0882	0.0500	0.0400	0.0800	
296:01			0.1471		0.1200	0.0400	
31:01				0.0250		0.0200	
352:01					0.0100		
463:01	0.0600					0.0200	
93:01							0.1000

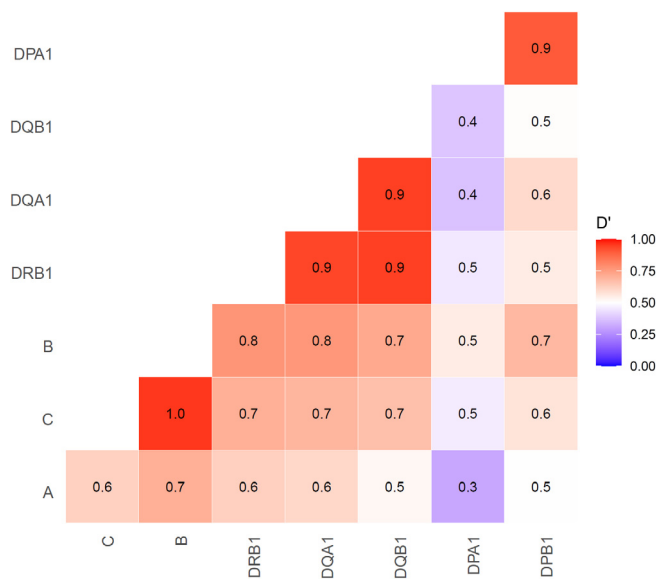


Fig. 2. Pairwise Linkage Disequilibrium (measured as  $D'$ ) heatmap for eight HLA genes, sorted by their genomic positions.

plementary Table 3). The EW test for neutrality (Supplementary Table 4) also showed mostly negative  $F_{nd}$  values indicative of balancing selection, although test statistics were not significant after correction for multiple tests.

### 3.4. Comparison of phylogenetic signals between HLA and non-HLA loci

Based on the results in Section 3.3, we investigated how natural selection would impact phylogenetic inferences. Phylogenetic trees were generated using three sets of SNP data (Methods 2.3.3), depicted in Fig. 6. Since genome-wide SNP data for the Temiar was not available for tree c), they were also omitted from trees a) and b) in Fig. 6 for consistency. All trees were rooted using Yoruban as the outgroup, with the Papuans, French, Andamanese, and Jomon being more basal when using non-HLA SNPs (Fig. 6b and c), although the branching orders differ slightly. When using only HLA SNPs (Fig. 6a) the Han and Cambodians were more basal, and the

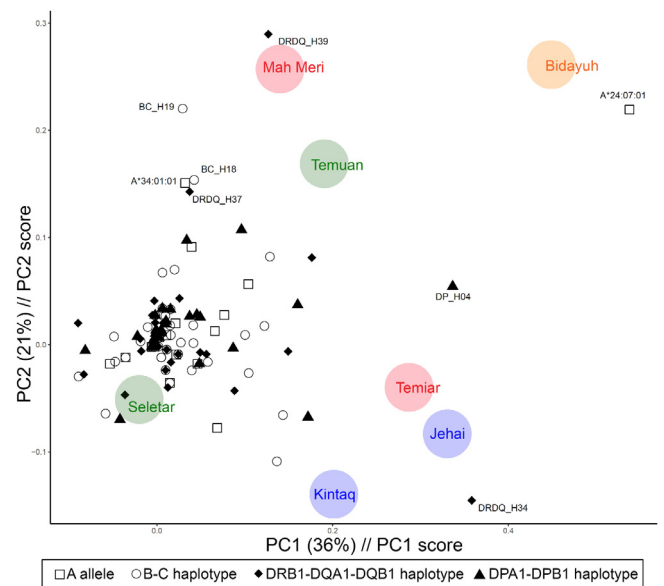
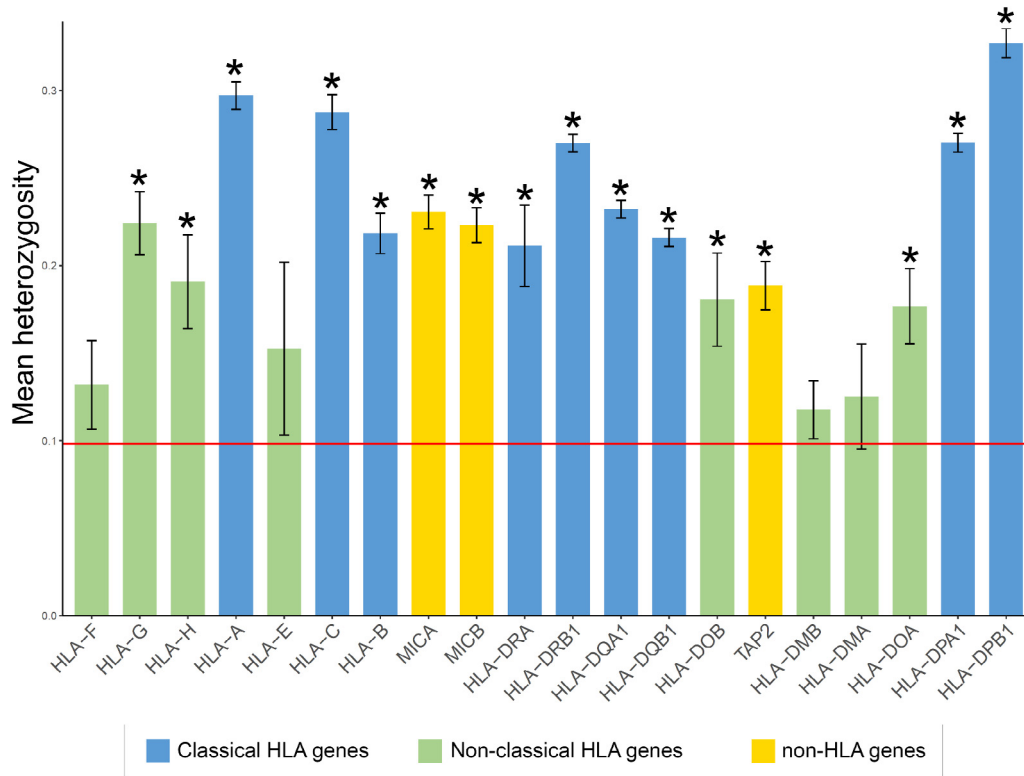


Fig. 3. Principal Component Analysis (PCA) and Principal Component Score (PCS) using HLA allele and haplotype frequencies. Population clustering using PCA, shown as colored circles, is overlaid over the PCS for the HLA alleles and haplotypes, shown as monochrome shapes.

Jomon now cluster with indigenous Taiwanese (Ami, Atayal). The clustering of Malaysian Negritos (Jehai, Kintaq) is consistent across all three trees, as is the close affinity between Mah Meri and Temuan, in agreement with HLA allele-based PCA (Fig. 3). The positions of the Seletar and Bidayuh however differ according to the dataset used. The normalized RF metric was used to measure differences between trees, with the maximum value of 1 indicating no similarities between two trees. The normalized RF between trees generated using HLA SNPs (Fig. 6a) and non-HLA SNPs (Fig. 6b and c) were both 0.9412, suggesting that those trees are quite different. The normalized RF between the trees generated using non-HLA SNPs (Fig. 6b and c) was 0.7058.



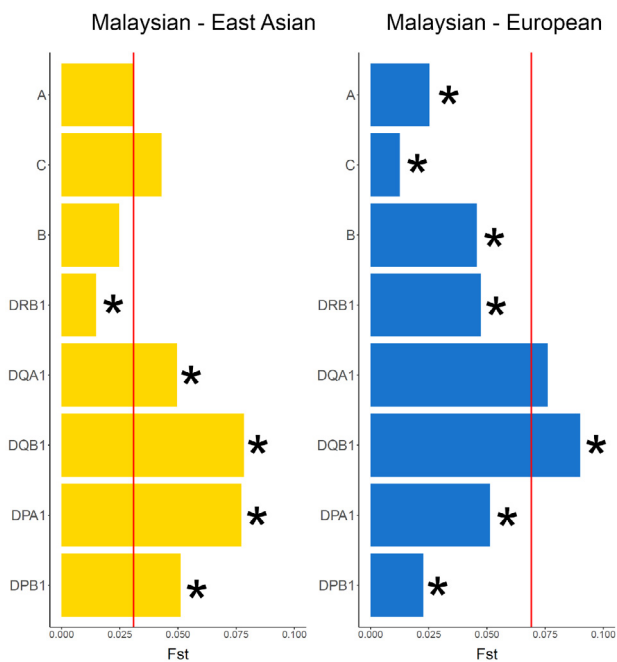
**Fig. 4.** SNP heterozygosity averaged across all seven Malaysian populations, grouped by genes in the MHC region. Genes are sorted according to their genomic positions and error bars are for standard error of mean. Average heterozygosity for SNPs outside the MHC region is indicated by the red horizontal line. Genes with significantly higher heterozygosity compared to non-MHC loci are indicated by asterisks. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

#### 4. Discussion

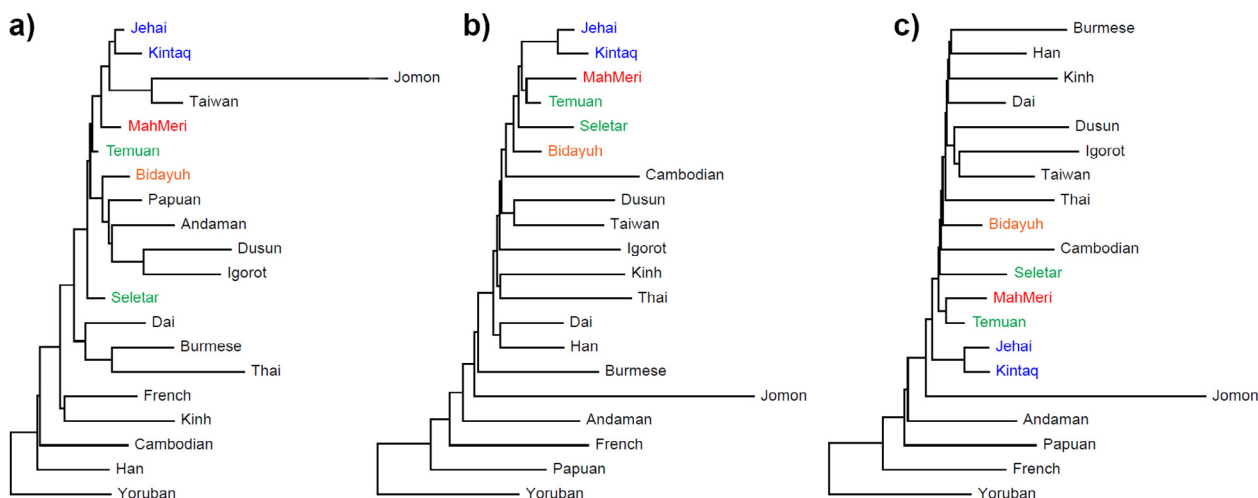
This study reports for the first time the HLA diversity of seven indigenous Malaysian populations using a NGS platform, including four groups for which HLA data was never reported so far: Kintaq, Seletar, Temiar, and Mah Meri. The combined use of targeted probes and NGS allows for the sequencing and genotyping of HLA genes that are less frequently reported, including *HLA-C*, *-DQA1*, *-DPA1* and *-DPB1*. This method also allows for unambiguous HLA allele designation, which was a problem for PCR and oligonucleotide probe-based tests, and even SBT methods [23].

We also report haplotype frequencies based on patterns of LD between HLA genes. Although LD in the MHC region is known to stretch over long distances [48], we report *HLA-B* ~ *C*, *HLA-DRB1* ~ *DQA1* ~ *DQB1*, and *HLA-DPA1* ~ *DPB1* haplotypes given the very high LD (Fig. 2) and close physical distances between those gene combinations. We acknowledge that long HLA haplotypes may exist, and that these frequencies are still estimates, not based on physical haplotypes. The use of long-range NGS sequencing such as PacBio or Nanopore would be necessary to define the actual HLA haplotypes. Regardless, using both allele haplotype frequencies, we identified several ancestry informative markers for indigenous Malaysians (Fig. 3). The Kintaq, Jehai, and Temiar share high frequencies of *DRB1*\*15:02:01 ~ *DQA1*\*01:01:01 ~ *DQB1*\*05:01:01 (*DRDQ\_H34*) and *DPA1*\*01:03:01 ~ *DPB1*\*04:01:01 (*DP\_H04*) haplotypes. Those two haplotypes were also frequent in Papuans and Melanesians, and in Nusa Tenggara (East of Java island, Indonesia), respectively [49].

The *HLA-A*\*24:07:01 allele which was most frequent in the Bidayuh, is also frequent in other Orang Asli groups [23], and Indonesians from Java [50]. The *DRB1*\*16:02:01 ~ *DQA1*\*01:02:02 ~ *DQB1*\*05:02:01 (*DRDQ\_H39*) haplotype which is frequent in



**Fig. 5.** Pairwise  $F_{st}$  between the seven indigenous Malaysian populations and East Asians, and Europeans, for eight classical HLA genes. Average  $F_{st}$  of SNPs outside the MHC region are indicated by vertical red lines for each pairwise comparison. HLA genes with significantly different mean  $F_{st}$  from the non-MHC loci are indicated by asterisks. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



**Fig. 6.** Neighbor-Joining trees generated using three SNP datasets: a) HLA SNPs from targeted sequencing; b) non-HLA SNPs from targeted sequencing; c) non-HLA SNPs from genome-wide SNP arrays.

the Bidayuh and Mah Meri, was also reported in Papuans and the Muong from Vietnam [49]. On the other hand, the B\*15:25:01 ~ C\*04:03:01 (BC\_H19) haplotype common to the Bidayuh and Mah Meri is also frequent in indigenous Taiwanese [49]. These patterns suggest a mixed ancestry from Mainland Asia and from the Austronesian expansion from Taiwan contributed to the HLA diversity in indigenous Malaysians, consistent with more recent studies [7–9]. However, population comparison using haplotype (or allele) frequencies has its difficulties, one of which is the paucity of HLA data. Even though there have been many reports detailing HLA diversity in various populations, they vary greatly in the HLA genes that were genotyped and the genotyping resolution. Furthermore, not all studies report haplotype frequencies, and even if they do, the haplotype combinations also vary by study. The allele and haplotype frequencies of eight classical HLA genes we report here would hopefully help fill the gaps of HLA data, especially for indigenous minority groups. However, we acknowledge that the sample sizes are low for some populations, for example in the Temiar ( $n = 10$ ) and Kintaq ( $n = 17$ ). There remains a possibility that low frequency alleles are undetected and unreported in those populations, and may potentially influence frequency-based analyses.

Balancing selection is well documented for the HLA region, and in this study we assessed the intensity of the signal in specific HLA genes using heterozygosity and pairwise  $F_{st}$ . We compared both parameters in HLA genes and non-HLA loci, under the assumption that these non-HLA loci are selectively neutral. Because of the small number of non-HLA loci used relative to HLA SNPs, there is a possibility that the values for these non-HLA loci may fluctuate. Both the heterozygosity and pairwise  $F_{st}$ , in addition to Tajima's  $D$ , points to a signal of balancing selection particularly in *HLA-A*, *-C* and *-DPB1* genes. Using the EW test for neutrality on *HLA-DPA1* ~ *DPB1* haplotype frequencies, Begovich et al. [52] showed excess heterozygotes in Papuans, Cameroonians, and Ugandans, although the values were not statistically significant. It may be possible that these populations from tropical climates share the same selective pressures in the form of common pathogens [53] as the indigenous groups from Malaysia, leading to observed signals of balancing selection. At the same time, pairwise  $F_{st}$  values were higher for *HLA-DQ* and *-DP* genes when comparing indigenous Malaysians and East Asians, suggesting the effects of directional selection. These seemingly contrasting results may be reconciled if we consider that balancing selection works over a long period of time, with signals appearing among divergent pop-

ulations, whereas the signal of local positive selection may be relatively recent. Currently, it remains unclear what selective pressures lead to the observed signals of natural selection in indigenous Malaysians, but it should be the focus for future studies.

Prior to the availability of genome-wide SNP and subsequently WGS data, HLA allele frequencies were used to construct phylogenetic trees thanks to its high level of polymorphism. Here we utilized the SNPs extracted from NGS reads to compare phylogenetic trees constructed from HLA and non-HLA markers (Fig. 6). Even though trees in Fig. 6b and c were both constructed from non-HLA SNPs, there are discrepancies between them which may be attributable to the different number of SNPs used: 972 and 83,272 respectively, after LD pruning. Generally, the tree generated from the larger number of markers tends to be more reliable, and the topology of Fig. 6c is consistent with previous studies [38,51]. It contrasts greatly with the tree made from HLA SNPs (Fig. 6a), with the only consistency being the close affinities between certain indigenous Malaysian groups. The short distance between East Asians (Han and Cambodian) and Africans (Yoruban) in Fig. 6a may not be the true phylogenetic signal but instead reflects the effects of natural selection. Balancing selection results in the persistence of alleles between divergent populations (even across species), and may lead to the clustering of geographically distant populations in phylogenetic analysis, as observed in Fig. 6a. However, if we consider Fig. 6a as a gene tree, some interesting patterns can be observed. For example, the affinity between ancient Japanese (Jomon) and indigenous Taiwanese, as well as the clustering of Papuans and Andamanese with Austronesians (Bidayuh, Dusun, Igorot) may reflect shared HLA profiles between those populations, possibly driven by similar selective pressures. So while HLA markers alone may not be suitable for phylogenetic inferences of geographically separate populations, they can still be informative for inferring the substructure of closely related populations.

## 5. Conclusion

Here we utilized NGS technology to characterize the HLA diversity in seven indigenous Malaysian populations. This platform allowed for unambiguous and high-resolution HLA genotyping for traditional HLA allele-based descriptive analyses, as well as deeper SNP-based analysis. The identification of ancestry-



informative HLA alleles and haplotypes should be useful for inferring population relationships. We also showed that HLA genes in these indigenous Malaysians were not only influenced by balancing selection, but also directional selection, particularly for HLA Class II genes. Consequently, the effects of natural selection may lead to conflicting phylogenetic signals when using only HLA SNPs for tree construction. Although the sample sizes in this study are smaller compared to other large-scale surveys, the addition of these high resolution data spanning several HLA genes should add to the growing wealth of HLA data, particularly for indigenous minority groups.

### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.humimm.2021.09.005>.

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